

SUMMARY OF THE INVESTORS RIGHTS

This document is a summary of the main rights of the investors that are shareholders of the SICAV Shelter UCITS, following the European Regulation ((EU) Regulation 2019/1156) regarding the cross border distribution of UCITS. It is not to be considered as an exhaustive list of all the rights that the shareholders of the SICAV may benefit from. The following rights exist for investors :

- **The right to participation in the investments of the SICAV** : the shares in Shelter UCITS gives the shareholders the right to participate proportionally to the profits and losses of the compartment that the shares are related to, notwithstanding the differences between the conditions/characteristics applicable to different share classes. The complete details of the goal and the investment policy of each compartment and the characteristics of the shares are presented in the correspondent part of the Precontractual information of Shelter UCITS (hereafter “Prospectus”).
- **The right to receive the revenues** : each shareholder has the right to a proportional part of the revenues of the compartment he/she has invested in. Depending on the nature of the shares held by the shareholder, this revenue can either be accumulated in the net asset value of the shares of the shareholder, or be distributed to the shareholder in the form of dividends, following the conditions set out in the Prospectus.
- **The right to receive information** : each shareholder has the right to receive certain information regarding Shelter UCITS and the compartments in which he/she has invested. This information is regrouped in Shelter UCITS reports, as well as via additional data available on demand. More details in this regard are set out in the Prospectus.
- **The right to assist and to vote at the general meeting of shareholders** : each shareholder has the right to receive a convocation, to assist in person or by proxy and to vote at the general shareholders meetings of Shelter UCITS and at each shareholders meeting of the specific compartment in which he/she has invested.
- **The right to the repurchase of his/her shares** : each shareholder has the right to ask the concerned SICAV to repurchase its own shares during a valuation date at their net asset value, without prejudice to the conditions of the procedure of repurchase of shares set out in the Prospectus.
- **The right to the confidentiality of data** : without prejudice to the applicable law, the investors can benefit of the rights regarding their personal data, in particular the right to access and rectification of their personal data and, in some circumstances, the right to oppose the treatment of their personal data, as described more in detail in the Prospectus.
- **The right to complain** : each shareholder that is not satisfied with his/her experience as a shareholder in Shelter UCITS has to contact the management company Shelter Investments. The complaints and possible claims can be done via the head office of the management company : Shelter Investment Management, 22, Rue de l’Industrie, L-8399 Windhof, Grand-Duchy of Luxembourg, to the attention of the Complaints handling Officer or by mail to : complaints@shelter-im.com.

- In case of no response or a satisfactory response from the Complaints Handling Officer, the Complainant will have the opportunity to escalate the Complaint directly to the Board of the Management Company.
- Where the complaint handling at the level of the Board did not result in a satisfactory response for the complainant, the Board/Responsible Director will:
 - provide the Complainant with a full explanation of the Company's position as regards the Complaint; and
 - inform the Complainant of existence of the procedure for out-of-court resolution of complaints before the CSSF in line with CSSF Regulation 16-07 available at <https://www.cssf.lu/en/customer-complaints/> or include a copy of CSSF Regulation N° 16-07;
 - include the contact details of the CSSF to file a request: Commission de Surveillance du Secteur Financier - Département Juridique - Service JUR - CC 283, route d'Arlon L-2991 Luxembourg - Phone: (+352) 26 25 1 - 1 (switchboard) or by email to the following address: reclamation@cssf.lu

This summary of the main rights of the investors does not have the vocation nor does it pretend to be exhaustive and the shareholders are encouraged to consult the precontractual information in its entirety as well as consult with their professional consultants to better understand their rights.